

SWCNT, MWCNT AND CNF EXPOSURE SUMMARY

By Lisa Cashins, Sc.D., CIH

QUESTIONS TRYING TO ANSWER

- How does CNF physically compare to SWCNT and MWCNT?
- How does the CNT and CNF physically relate to asbestos?
- How does the CNT and CNF exposures relate to asbestos exposure?
- How is CNF processed?
- Is there employee exposure to CNF?

PARTICLE DEPOSITION IN THE LUNG

- Particles with **$\leq 10 \mu\text{m}$** diameter will deposit somewhere in the respiratory system if inhaled (inhalable)
- Particles with **$\leq 4 \mu\text{m}$** diameter will deposit in the respirable region of the lung, lower region (respirable)
- Size-selective sampling devices
- NIOSH REL for CNT and CNF is $1.0 \mu\text{g}/\text{m}^3$ of the mass of the sample that contains elemental carbon in the respirable size range, using NIOSH Method 5040 (elemental carbon), 8-hour TWA

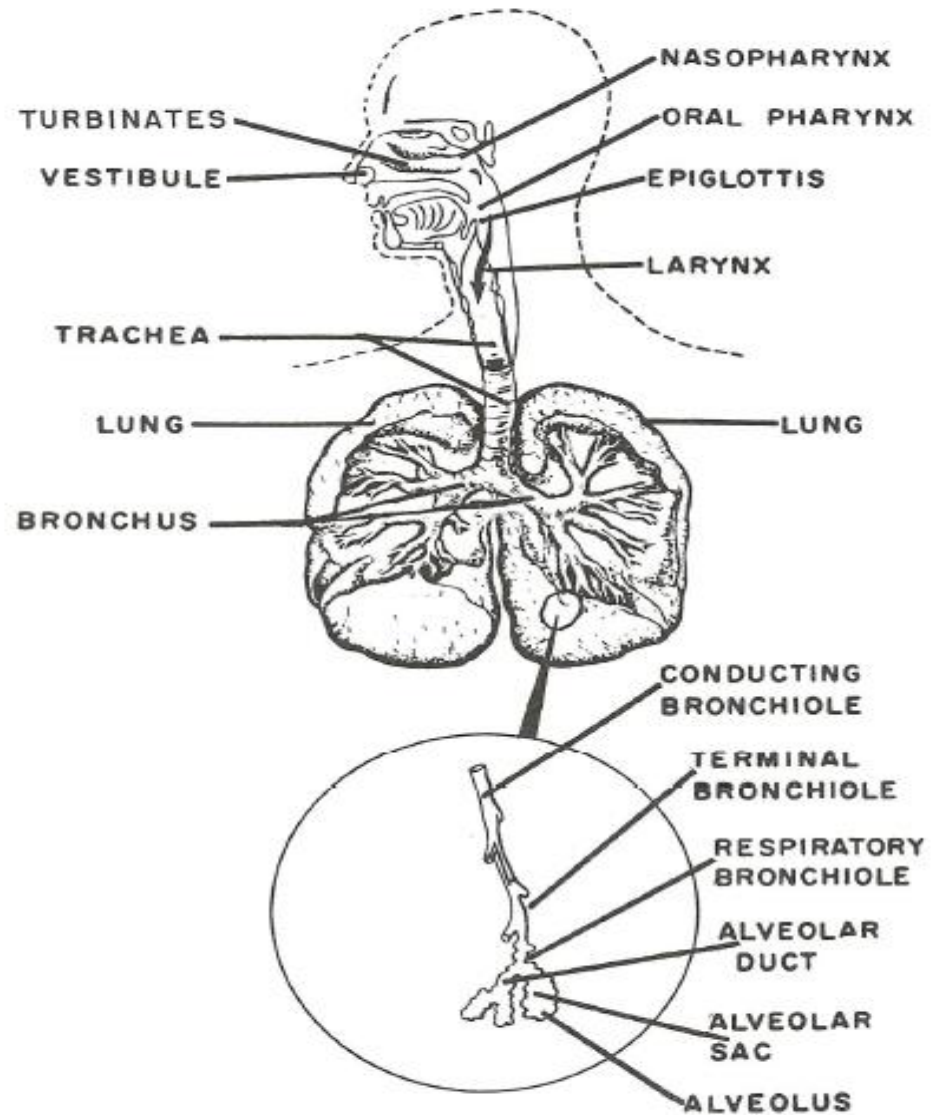


Figure 11.1 The respiratory system. Adapted from *Handbook of Air Pollution* USPHS 999-AP-44 (1968).

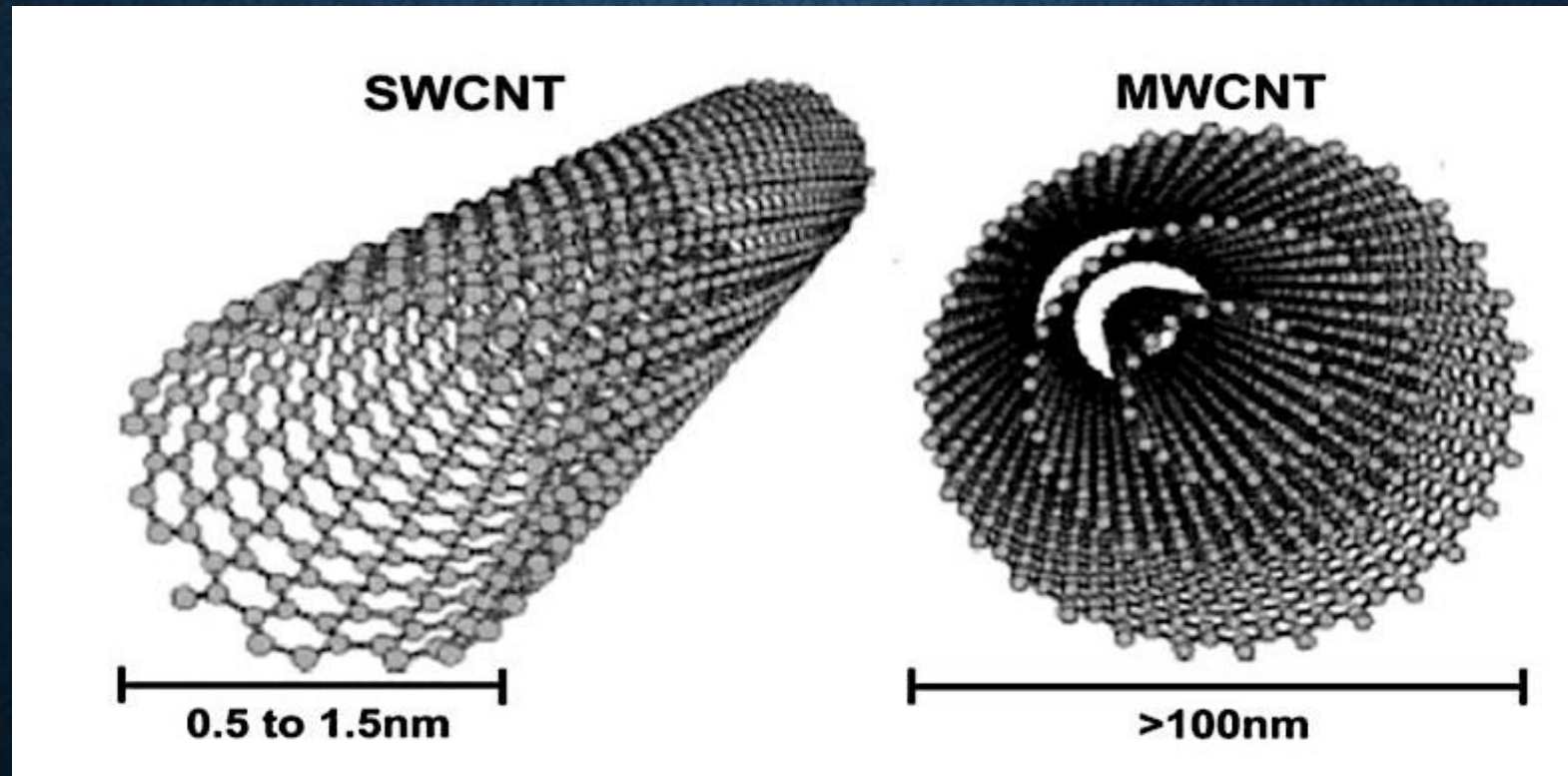
PICTURE OF RESPIRABLE CYCLONE WITH MEDIA



HINDS, 1982

- 1 μm = 1000 nm (particle with diameter of 4000 nm or less is respirable)
- Nanomaterial – one dimension is less than 100 nm in size
- Diameter of an air molecule – 0.37 nm (0.00037 μm)
- Mean free path – average distance traveled by an air molecule between successive collisions
- Mean free path – 66 nm
- Nanoparticles (NP) fall or “slip” in between air molecules
- NP settle slightly faster than particles in μm size range

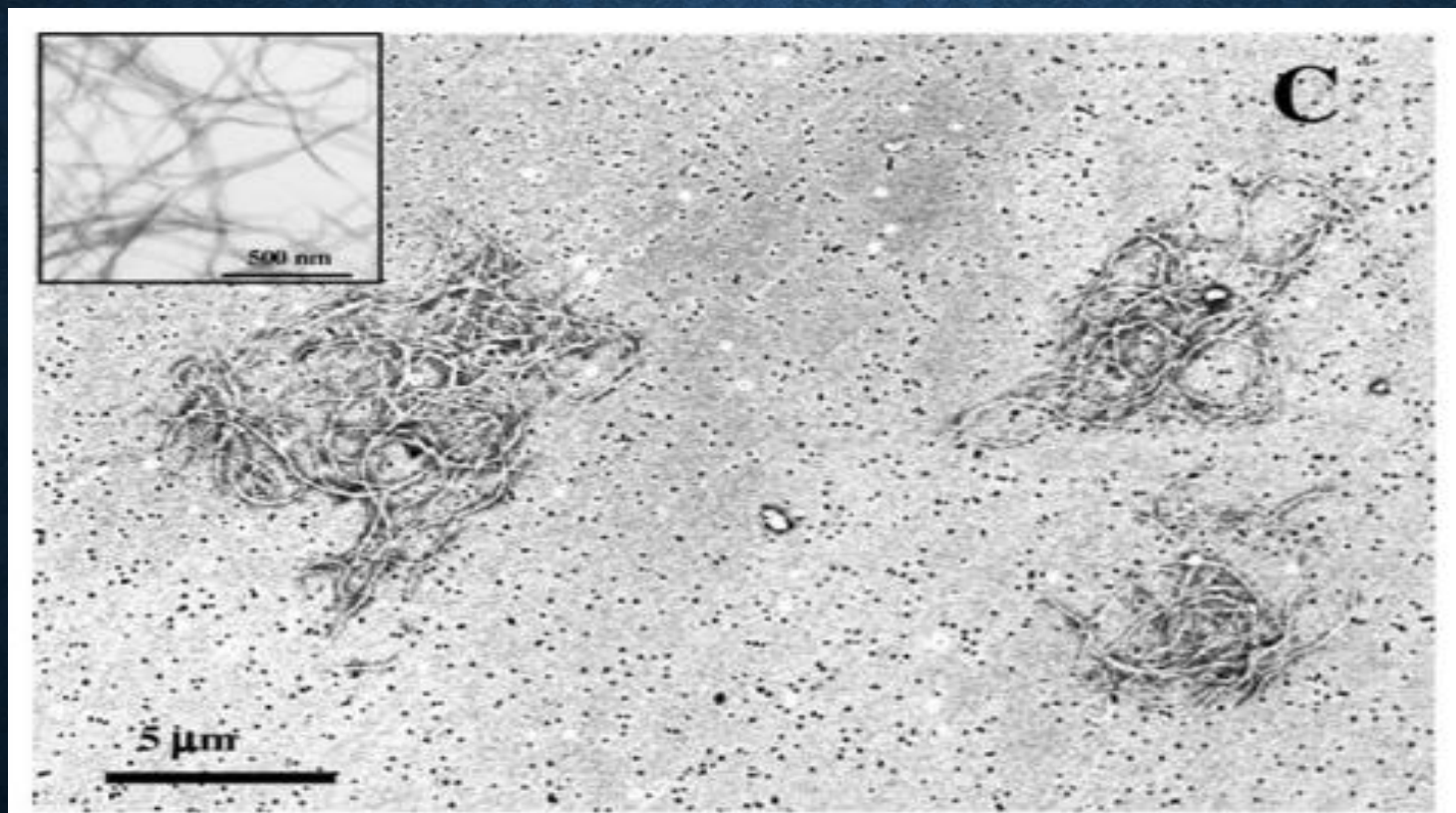
[HTTPS://WWW.RESEARCHGATE.NET/FIGURE/SCHEMATIC-REPRESENTATION-OF-SINGLE-WALLED-CARBON-NANOTUBE-SWCNT-AND-MULTI-WALLED-CARBON_FIG1_319966218](https://www.researchgate.net/figure/Schematic-representation-of-single-walled-carbon-nanotube-SWCNT-and-multi-walled-carbon-fig1_319966218)



SWCNT

- 1 to 10 μm diameters (NIOSH) individual fibers
- Up to 1 mm in length (1,000,000 nm)
- 20 to 50 nm diameters in bundles (Maynard et al, 2004)
- Form tight nest-like bundles or ropes (Birch et al, 2011)

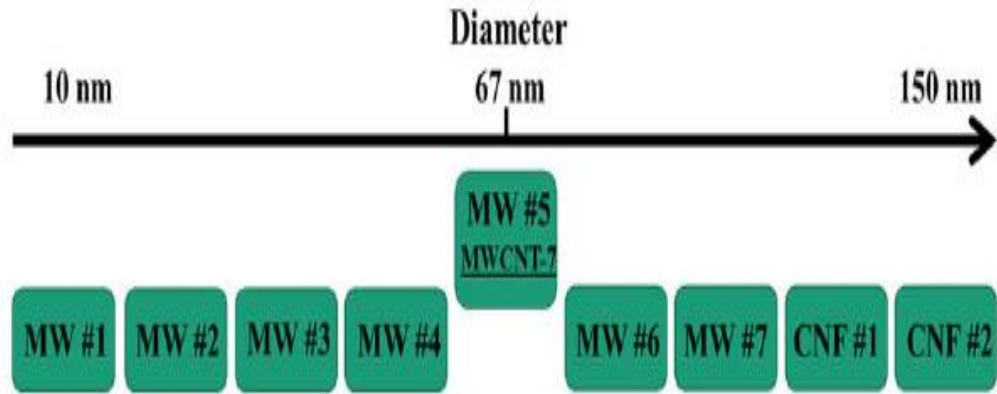
MURRY ET AL, 2012
SWCNT



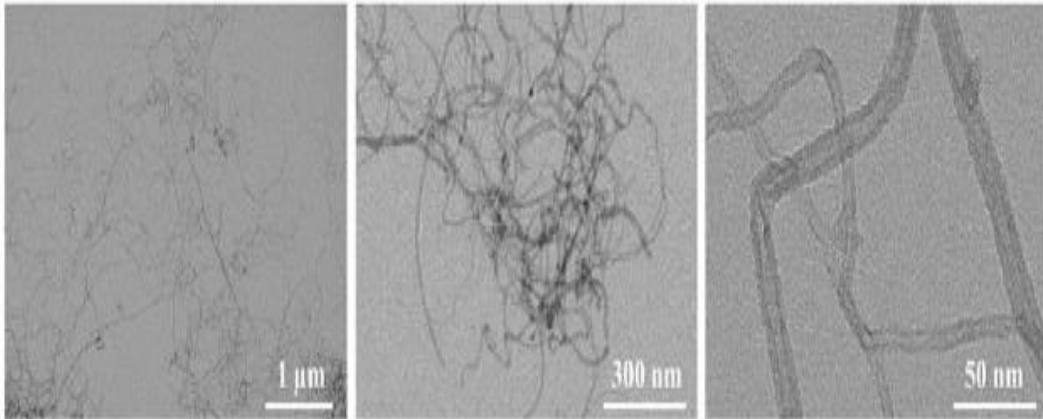
MWCNT AND CNF

- MWCNT - Larger diameters than SWCNT
- MWCNT - Less flexible than SWCNT
- MWCNT - Graphene shell runs parallel to alignment of the fiber
- MWCNT - Very structurally similar to CNF
- CNF – Graphene shell are not exactly parallel to alignment of the fiber
- CNF – cupped like or herringbone shape

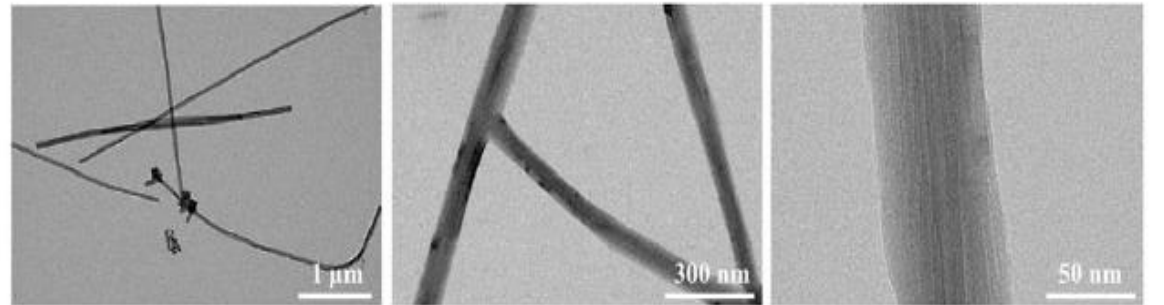
FRASER ET AL, 2020



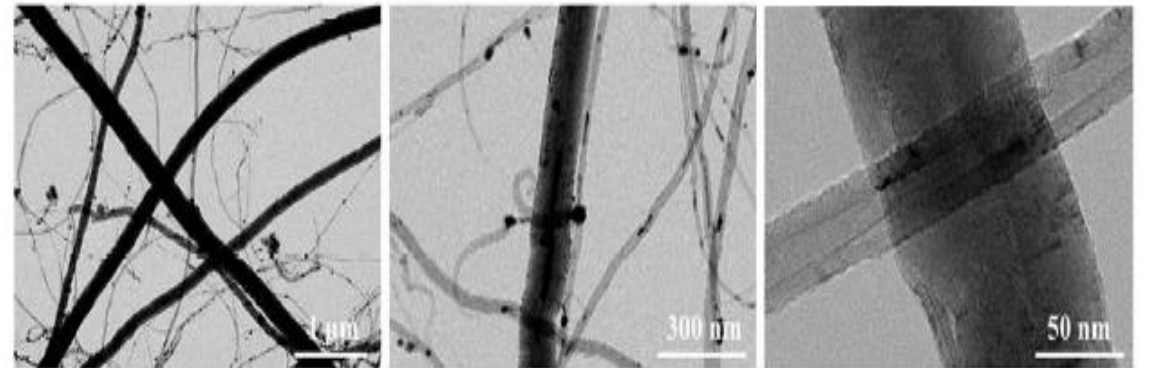
MW #1



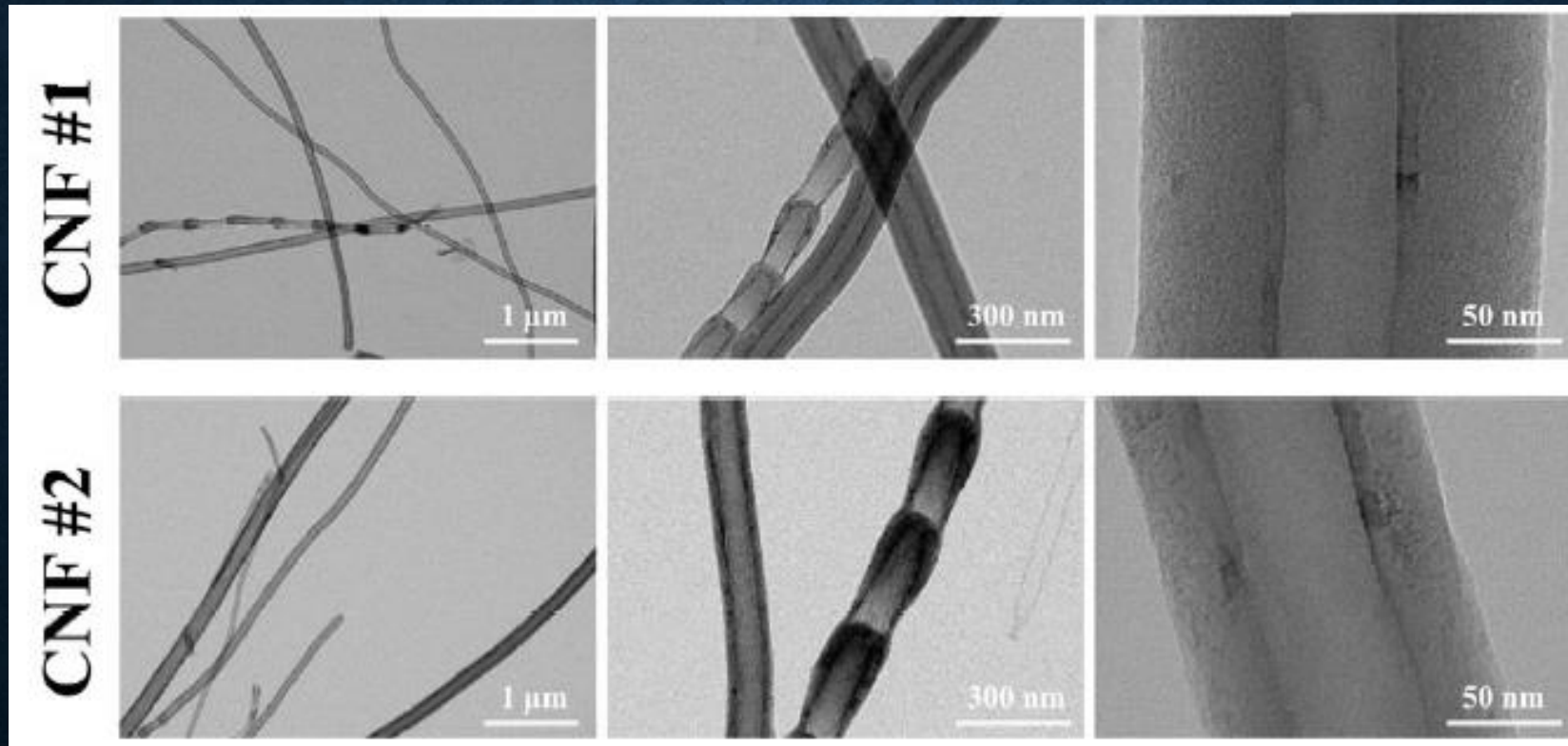
MW #5



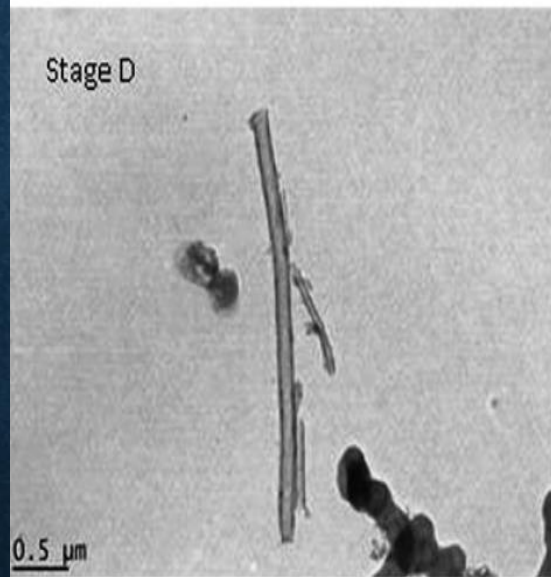
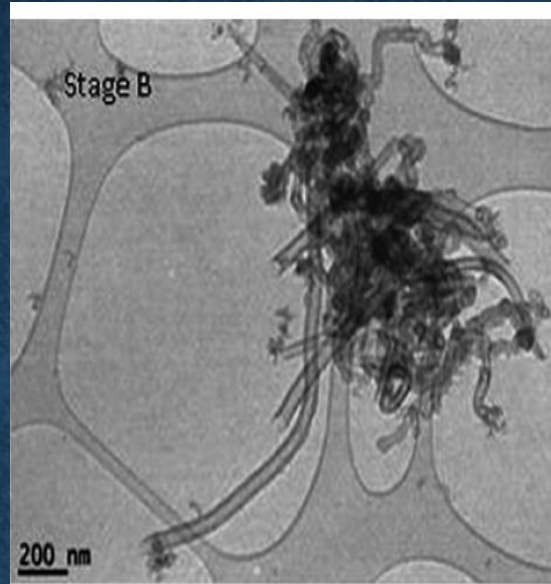
MW #7



FRASER ET AL, 2020

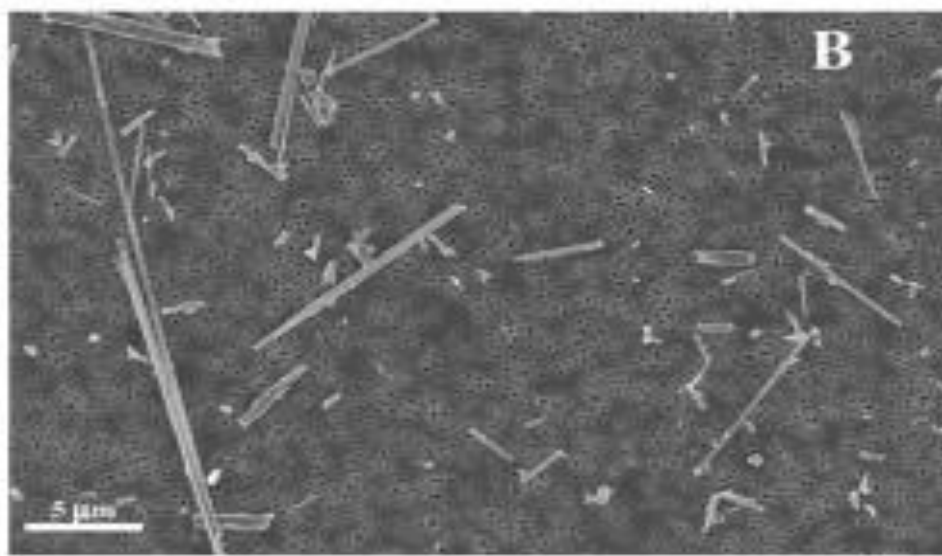
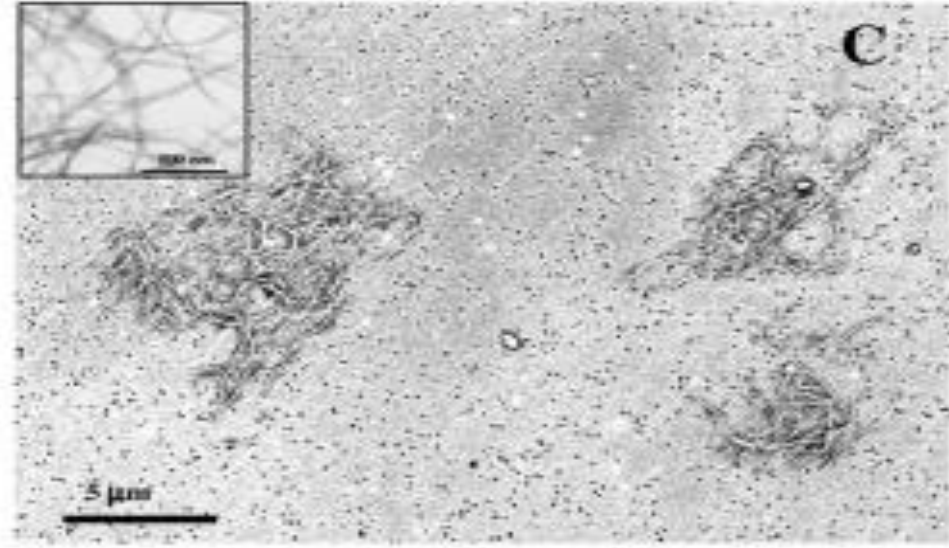
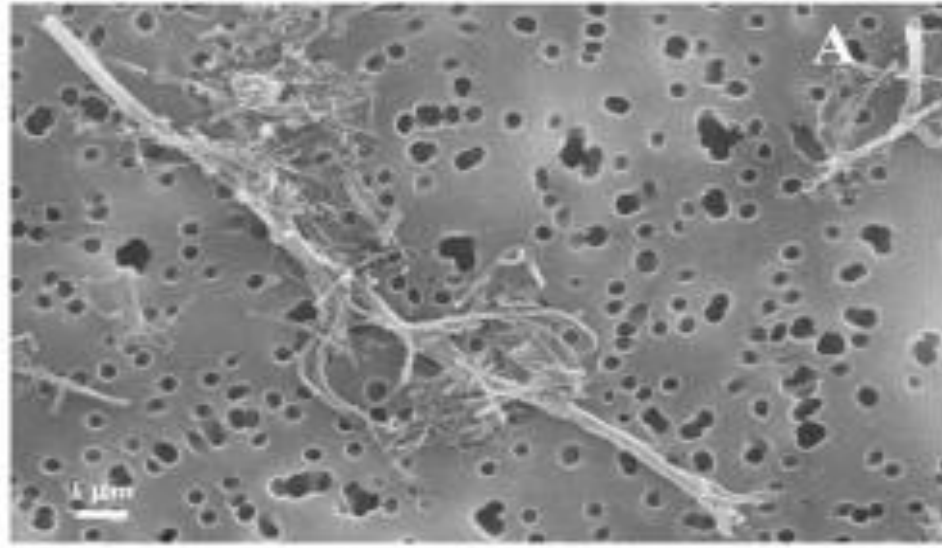


BIRCH ET AL, 2011



CNF in bundle
and individual
fiber

MURRAY ET AL, 2012 A-CNF, B- ASBESTOS, C-SWCNT



D

	40 μg	120 μg
CNF		4.14x10 ⁶
Asbestos		660x10 ⁶
SWCNT	1.84x10 ⁶	

E

% total/μm	0.1-1.0	1.0-2.0	2.0-5.0	5.0-30.0
CNF	39.47	26.32	25.00	9.21
Asbestos	3.36	21.48	55.70	19.46
SWCNT	0.00	25.00	16.67	58.33



Asbestos fibers, image taken with a scanning electron microscope. Source: <http://usgsprobe.cr.usgs.gov/picts2.html>.

NIOSH CURRENT INTELLIGENCE BULLETIN

65

CNT	General Measures	
	Diameter	Length
SWCNT	1 to 4 nm	<10,000 nm
MWCNT	2 to 100 nm	<10,000 nm
CNF	40 to 200 nm	10,000 to 1,000,000 nm

Type	Individual		Agglomerated	
	Diameter	Length	Diameter	Length
SWCNT	1 to 10 nm	_____	65 to 150 nm (ropes/bundles)	1,000 to 3,000 nm
MWCNT (not including 7)	13 to 54 nm	800 to 7,640 nm	30 to 9500 nm (bundles/some singles)	1,110 to 49,550 nm
MWCNT – 7	67 nm	5620 nm	130 nm (bundles/some singles)	6,270 nm
CNF	110 nm	3,200 to 5,200 nm	120 to 210 nm (bundles/some singles)	5,000 nm to 30,000 nm
Asbestos	(by TEM) 160 to 800 nm	(by TEM) 2,000 to 30,000 nm	Only singles	

ASBESTOS and OTHER FIBERS by PCM

7400

FORMULA: Various

MW: Various

CAS: see Synonyms

RTECS: Various

METHOD: 7400, Issue 2

EVALUATION: FULL

Issue 1: Rev. 3 on 15 May 1989

Issue 2: 15 August 1994

OSHA: 0.1 asbestos fiber (> 5 µm long)/cc; 1 f/cc, 30 min excursion; carcinogen

PROPERTIES: solid, fibrous, crystalline, anisotropic

MSHA: 2 asbestos fibers/cc

NIOSH: 0.1 f/cc (fibers > 5 µm long), 400 L; carcinogen

ACGIH: 0.2 f/cc crocidolite; 0.5 f/cc amosite; 2 f/cc chrysotile and other asbestos; carcinogen

SYNONYMS [CAS #]: actinolite [77536-66-4] or ferroactinolite [15669-07-5]; amosite [12172-73-5]; anthophyllite [77536-67-5]; chrysotile [12001-29-5]; serpentine [18786-24-8]; crocidolite [12001-28-4]; tremolite [77536-68-6]; amphibole asbestos [1332-21-4]; refractory ceramic fibers [142844-00-6]; fibrous glass

SAMPLING

MEASUREMENT

SAMPLER: FILTER
(0.45- to 1.2-µm cellulose ester membrane, 25-mm; conductive cowl on cassette)

TECHNIQUE: LIGHT MICROSCOPY, PHASE CONTRAST

ANALYTE: fibers (manual count)

FLOW RATE*: 0.5 to 16 L/min

SAMPLE PREPARATION: acetone - collapse/triacetin - immersion method [2]

VOL-MIN*: 400 L @ 0.1 fiber/cc
-MAX*: (step 4, sampling)

COUNTING RULES: described in previous version of this method as "A" rules [1,3]

*Adjust to give 100 to 1300 fiber/mm²

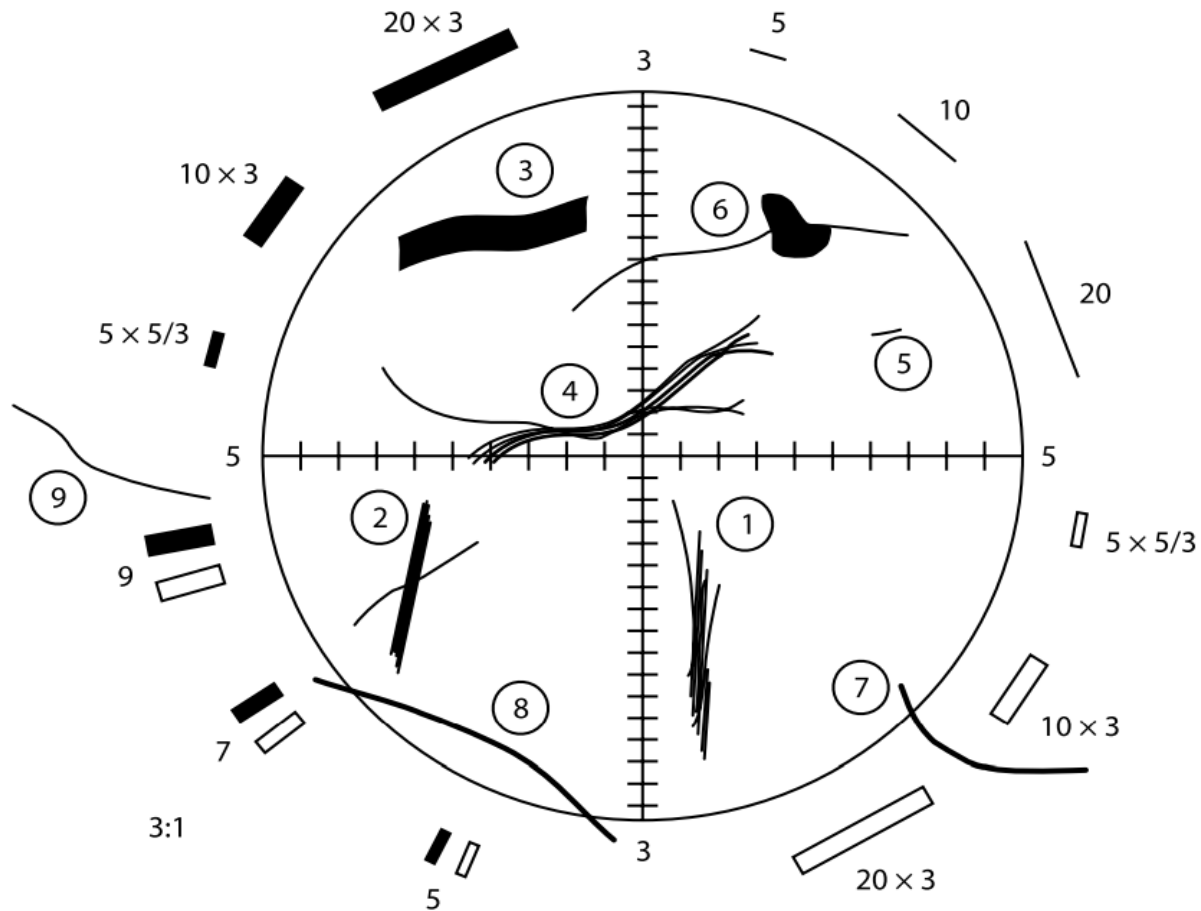
ASBESTOS COWL

[HTTPS://WWW.ZEFON.COM/CASSETTE-HOUSING-25MM-3PC-ASB-WCOWL-CF-50BX-2](https://www.zefon.com/cassette-housing-25mm-3pc-asb-wcowl-cf-50bx-2)



APPENDIX B. COMPARISON OF COUNTING RULES

Figure 2 shows a Walton-Beckett graticule as seen through the microscope. The rules will be discussed as they apply to the labeled objects in the figure.



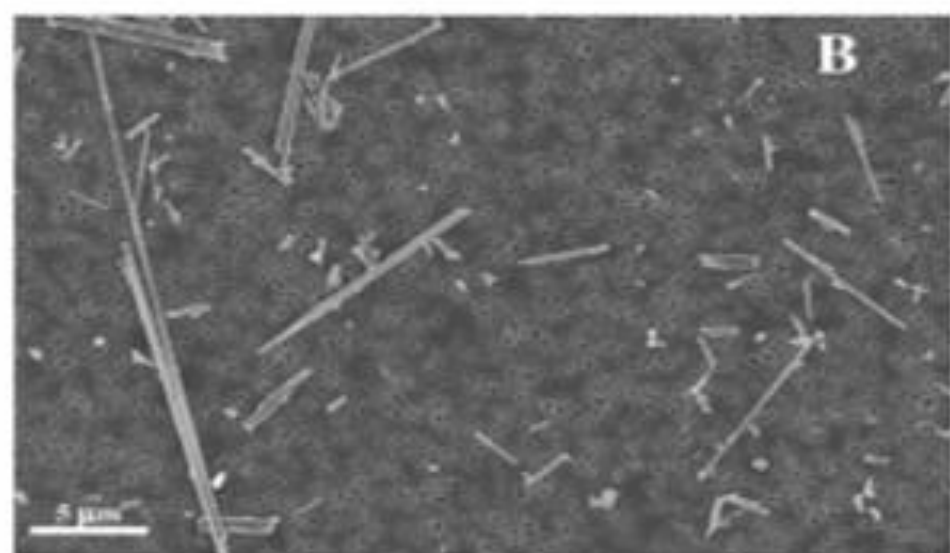
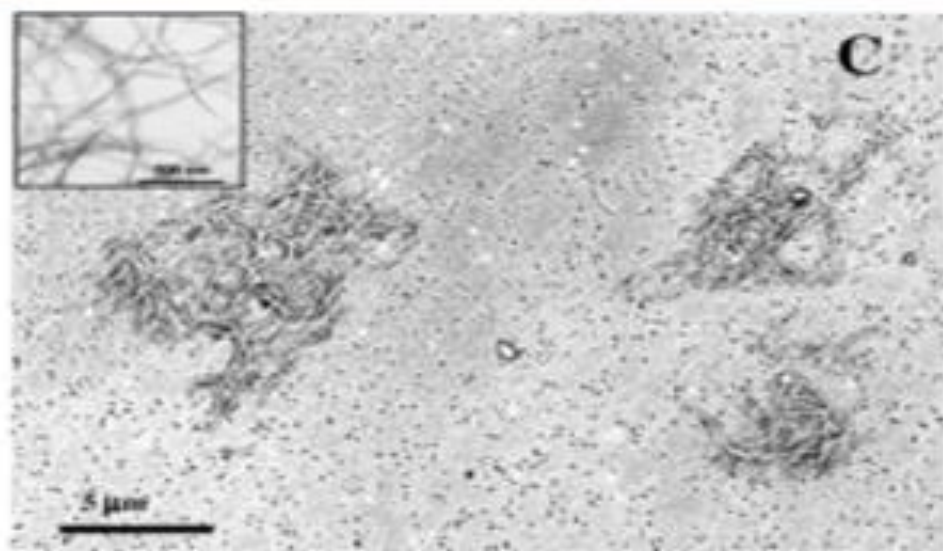
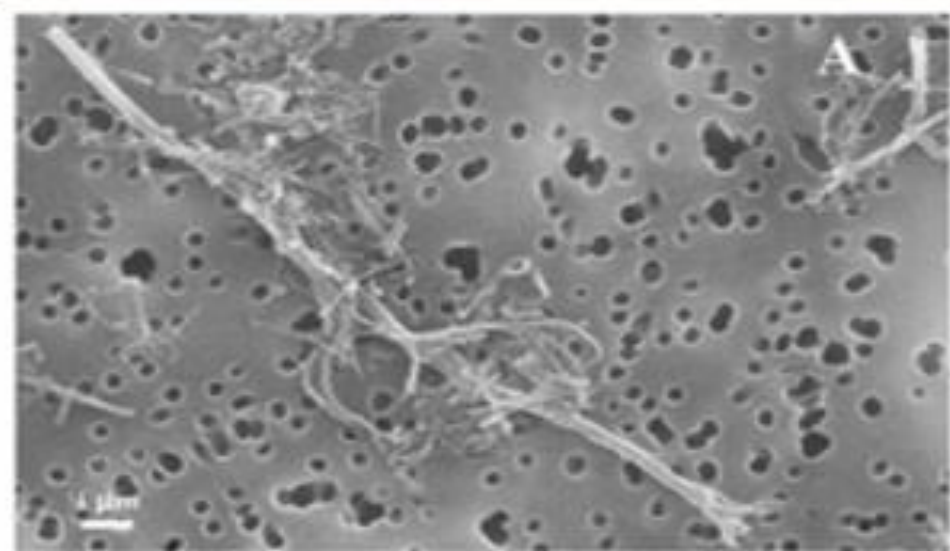
18. Counting rules: (same as P&CAM 239 rules [1,10,11]; see examples in APPENDIX B).
- a. Count any fiber longer than 5 μm which lies entirely within the graticule area.
 - (1) Count only fibers longer than 5 μm . Measure length of curved fibers along the curve.
 - (2) Count only fibers with a length-to-width ratio equal to or greater than 3:1.
 - b. For fibers which cross the boundary of the graticule field:
 - (1) Count as $\frac{1}{2}$ fiber any fiber with only one end lying within the graticule area, provided that the fiber meets the criteria of rule a above.

NIOSH Manual of Analytical Methods (NMAM), Fourth Edition

Using this method only asbestos fibers are counted if length is $\geq 5 \mu\text{m}$ (5000 nm) and length:width ratio $\geq 3:1$

Fibers $< 0.25 \mu\text{m}$ (250 nm) diameter will not be detected by this method

MURRAY ET AL, 2012 A-CNF, B-SWCNT, C-ASBESTOS



D

	40 μg	120 μg
CNF		4.14×10^6
Asbestos		660×10^6
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E

% total/μm	0.1-1.0	1.0-2.0	2.0-5.0	5.0-30.0
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**DIESEL PARTICULATE MATTER
(as Elemental Carbon)**

5040

C

AW: 12.01

CAS: none

RTECS: none

METHOD: 5040: Issue 3

EVALUATION: FULL

Issue 1: 15 May 1996

Issue 3: 15 March 2003

OSHA: no PEL

PROPERTIES: nonvolatile solid

NIOSH: no REL

ACGIH: 20 µg/m³ as elemental carbon (proposed [1])

SYNONYMS (related terms): diesel particulate matter, diesel exhaust, diesel soot, diesel emissions

SAMPLING

MEASUREMENT

SAMPLER: FILTER: quartz-fiber, 37-mm; size-selective sampler may be required [2].

TECHNIQUE: Thermal-optical analysis; flame ionization detector (FID)

FLOW RATE: 2 to 4 L/min (typical)

ANALYTE: Elemental carbon (EC). Total carbon is determined, but an EC exposure marker was proposed. See [2] for details.

VOL-MIN: 142 L @ 40 µg/m³
-MAX: 19 m³ (for filter load of ~ 90 µg/cm²)

SHIPMENT: Routine

FILTER PUNCH SIZE: 1.5 cm² (or other [2])

SAMPLE STABILITY: Stable

CALIBRATION: Methane injection

BLANKS: 2 to 10 field blanks per set

RANGE: 1 to 105 µg per filter portion (See also [2].)

PICTURE OF RESPIRABLE CYCLONE WITH MEDIA



**CLOSE-FACED
AND
OPEN-FACED
CASSETTES**



AREA AIR SAMPLING



PERSONAL
BREATHING
ZONE (PBZ)
AIR SAMPLING



MAYNARD ET AL, 2004

- Study focus on **SWCNT** exposure at 4 facilities in US
- Ablation process – very compact vs High Pressure Carbon Monoxide – less dense
- PBZ - 25 mm diameter open-faced filters used – not size selective – not respirable
- Sampled at NASA, Rice University, Carbon Nanotechnology 2x's
- With no agitation particles ≥ 0.1 μm diameter released, airflow across powder
- With agitation 0.01 μm diameter particles released in high numbers
- Estimated SWCNT by % of Ni and Fe found
- **0.7 to 53 $\mu\text{g}/\text{m}^3$ PBZ (30 min samples)**
- Dermal exposure – on cotton gloves 0.2 to 6 mg per hand

HAN ET AL., 2008

- **MWCNT** created by CVD – Chemical Vapor Deposition
- Nanotube Research Lab
- Used a cowl sampling device
- Exposure measure was mass concentration, PBZ
 - **210 to 430 ug/m³ over 4 to 6 hours in a blending area**
 - No indication of process sampled
 - No EC analysis
 - Not Respirable sampling
- Shows exposure exists

LEE ET AL., 2015

- Large Scale **MWCNT** manufacturing workplace in Korea
- CVD – chemical vapor deposition process
- Produced 20 kg/day, worked 24/7, 3 shifts
- PBZ samples (n=5) measured Total Suspended Particles (TSP) with closed-face cassette, 25 mm filter
- Analysis for Elemental Carbon (EC) mass concentration
- **PBZ range 6.2 to 9.3 ug/m³, mean 8.34 ug/m³ over 8 hr shifts**
- Not respirable sampling, can't compare to REL

DAHM ET AL, 2018

Assessed personal respirable exposures for 108 workers at 12 different sites across the US that were primary manufacturers, hybrid produces/users, or secondary manufactures of MWCNT/SWCNT/CNF (Mostly MWCNT but doesn't distinguish difference in PBZ)

PBZ EC Respirable Mean – 1.0 ug/m³

Range – **0.001 to 43.8 ug/m³** (can compare to REL – 1.0 ug/m³) full shift sampling

83 filters collected – highest exposure at extrusion and weighing

7% of average EC mass Respirable Results were found above REL

102 workers – 70% showed CNT/F on wrist (tape method)

- 63% showed CNT/F on hand

90 workers – 18% had CNT/F in sputum

CNF (BIRCH ET AL, 2011)

60 to 250 nm Diameter

Up to 4 μ m (4000 nm) in length

Bundled/discrete

Structurally similar to MWCNT

Graphene plan not parallel to fiber axis

Stacked Cup or Herring- Bone Shape

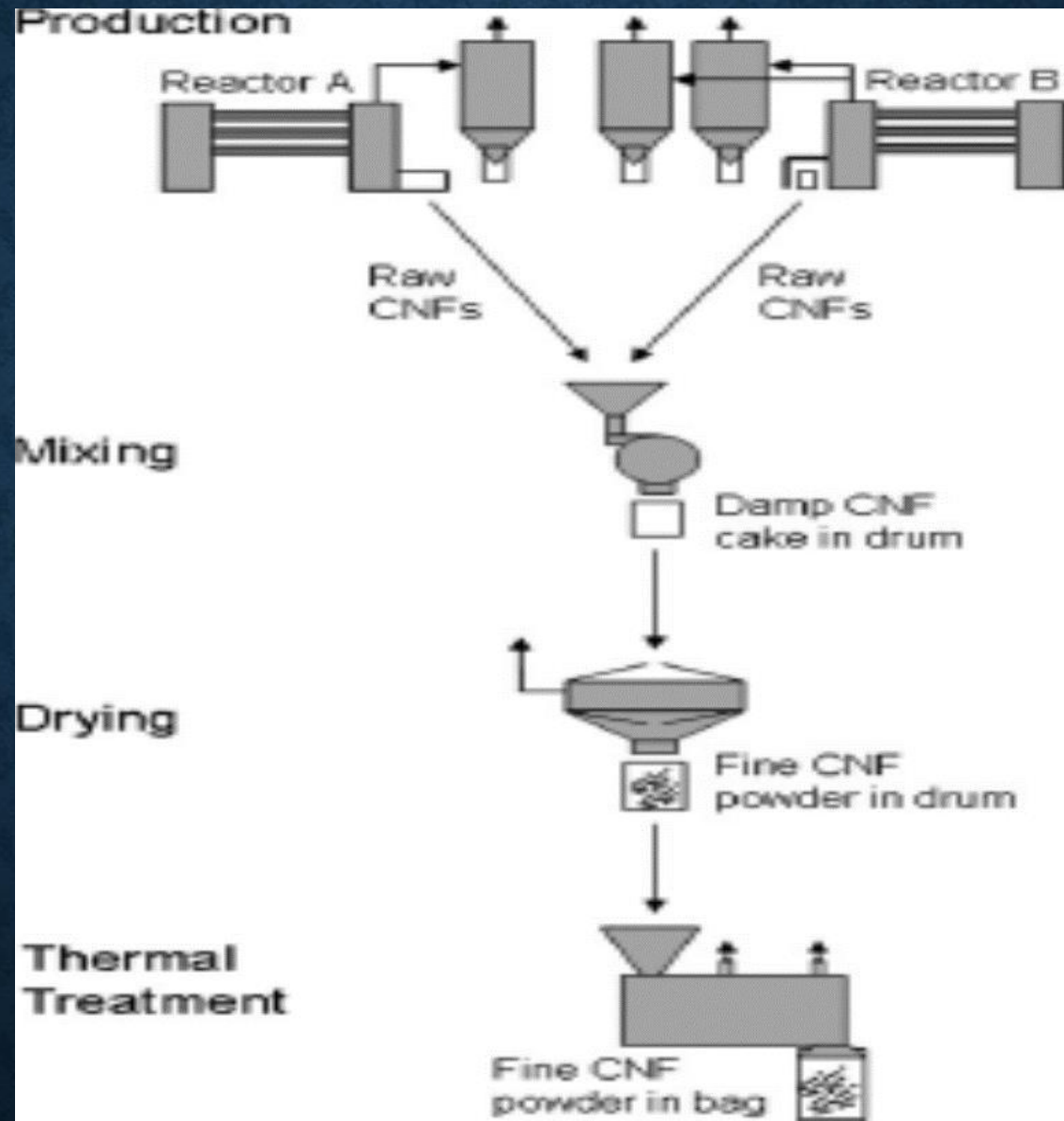
Highly reactive edges that allow for chemical modifications for max mechanical reinforcement in polymer composites



BIRCH ET AL, 2011

- **CNF – exhibit properties between those of CNT and carbon fibers**
- **CNF – used to improve thermal, electrical, and mechanical properties of a wide variety of polymer-based composite materials**
- **Used in high performance products, coatings and composites for aerospace, automobiles, sports equipment and construction**
- **More economical to manufacturer than CNT**

EVANS ET AL, 2010

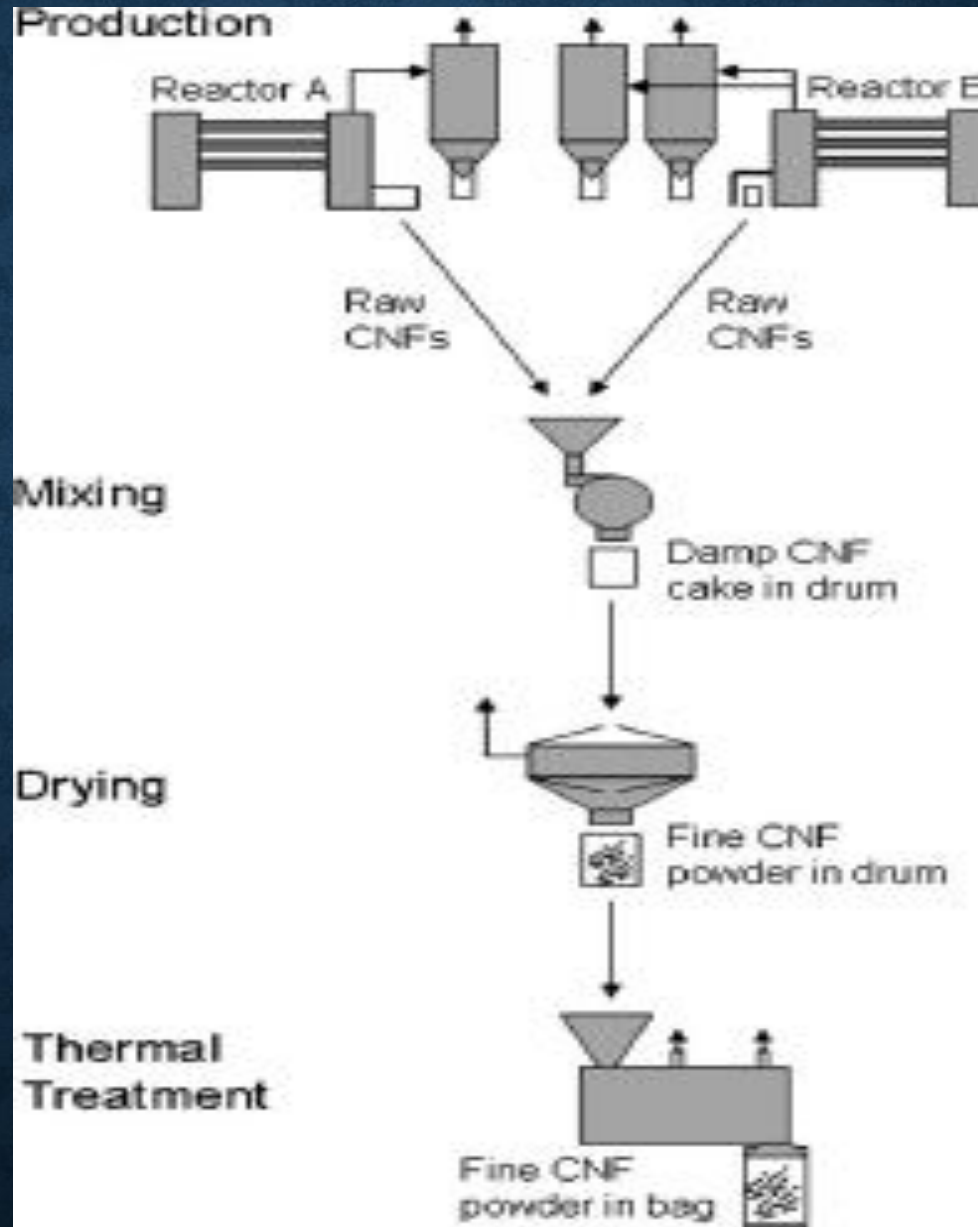


80 ug/m³ – Resp PBZ EC
Reactor A

Resp Particulate Mass Conc. -
Manual Change of bags –
0.5 mg/m³ (500 ug/m³) (direct
reading)

45 ug/m³ Resp PBZ EC
Thermal Treatment

Resp Particulate Mass Conc. -
Manual Dumping of fibers into
bag - 1.1 mg/m³ (1100 ug/m³)
(direct reading)



Tapping of bags to settle material before change out



METHNER ET AL, 2007

- CNF Exposure in a university-based research lab to produce high-performance polymer composite materials
- EC **Area sampling** using analyzed for TEC using an **inhalable** sampling device but can't compare to REL (may be slightly overestimated because **TC**)
- Appears to be task sampling and the length of time sampled isn't given
- Majority of fibers were loosely bundled agglomerates
- Evaluated 5 processes
- Shows exposure exists

TABLE I. Total Carbon Concentrations from Inhalable Dust Samples

Sample No.	Sampling Location and Operation	TC ($\mu\text{g}/\text{m}^3$)	Multiple of Average Office TC Concentration ^A
1	Weighing out CNF ^B material	64	4
2	Mixing CNF with solvent	93	5
3	General area (on shelf near hood)	55	3
4	Lab bench: handling bulk, partially dry product	221	13
5	Wet saw: cutting CNF composite	1094	64

Methner, Crawford, and Geraci, 2012



FIGURE 1. Photos of workers engaged in some tasks. (a) Weighing CNF's inside lab hood with sash in operating position; (b) Wet saw cutting composite inside canopy ventilated booth; (c) Surface grinding composite containing CNFs with LEV; (d) Table saw with wet diamond blade cutting composite (no controls); (e) Transferring CNF's to tray inside ventilated booth; (f) Hand sanding composite laminate material inside ventilated booth.

METHNER, CRAWFORD, AND GERACI, 2012

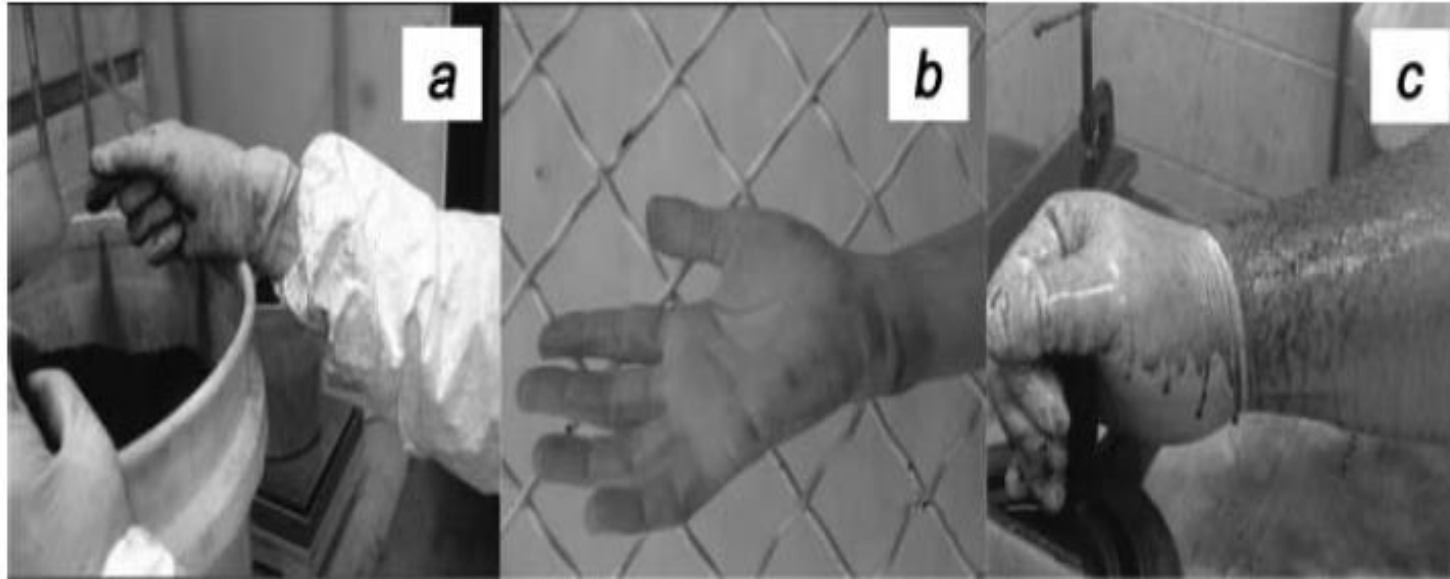


FIGURE 2. Tasks with potential dermal exposure. (a) Glove/elastic wrist closure separation; (b) Dermal exposure due to separation between glove and wrist closure; (c) Aerosol plume deposition onto unprotected skin during cutting of composite (no controls).

During the weighing operation, the sleeve of the PPE garment tended to ride up at the wrist/glove junction, thereby exposing the skin and enabling the deposition of CNFs onto bare skin (Figures 2a, 2b).

METHNER, CRAWFORD, AND GERACI, 2012

- Facility that researches, develops, and conducts projects on epoxy-based nanocomposite material
- PBZ samples collected using task sampling 21 to 428 mins at 7 l/min
- PBZ were open-faced 37 mm cassettes and calculated for mass concentration of EC using NIOSH 5040
- Side by side samples analyzed by TEM Method 7402 to characterize exposure with respect to bulk sample
- Can't compare to REL because not respirable air sampling
- PBZ samples ranged from ND to 1000 $\mu\text{g}/\text{m}^3$, with 90% of the samples having detectable amounts of EC

METHNER, CRAWFORD, AND GERACI, 2012

- The lowest measurable PBZ air sample was collected during the weighing of CNFs inside a laboratory hood (**2 ug/m³**), and highest measured PBZ sample occurred during wet saw cutting of composite without enclosure (**1000 ug/m³**).
- The majority of samples contained mostly non-agglomerated CNFs, but a smaller subset of samples contained a larger amount of loosely agglomerated CNFs.
- CNF material is released to the workplace atmosphere in both bound forms (within or attached to the composite matrix) and unbound forms (free fibers, bundles, or agglomerates).
- Nearly 90% of all samples examined via TEM indicated that releases of CNFs do occur and that the potential for exposure exists.

METHNER, CRAWFORD AND GERACI, 2012

Engineering controls/PPE weren't always effective

- Plume of airborne spray from wet saw cutting without enclosure – analysis indicated that droplets contained structures of nested CNFs – aerosol plume led to contamination of the entire room
- Wet cutting inside a three walled enclosure - **area** samples inside and outside the booth showed exposure to single and bundled CNFs.
- **PBZ** analysis showed that an employee weighing CNF inside a laboratory hood was still exposed to a release of CNFs
- Dermal exposure even though wearing latex gloves and Tyvek suit

MURRAY ET AL, 2012

- Oxidation properties in lung - SWCNT>CNF>Asbestos
- Inducing acute pulmonary cell damage – SWCNT>CNF>Asbestos
- Potency of alveolar interstitial fibrosis – SWCNT>CNF=Asbestos
 - Thickness of alveolar connective tissue
- Mice, pharyngeal aspiration

SUMMATION

- CNF – Individual factories made/facilities used
- CNF – Exposures exist at factories/facilities
- CNF – Engineer controls aren't always effective
- CNF – Some measures exceeded the REL
- CNF – Inhalation and dermal exposures exist
- CNF – Structurally similar to MWCNT (Group 2B – possible human carcinogen)
- CNF – May be more reactive than MWCNT on the edges of the cup-like shapes of the fiber

The End

Questions?

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MURRAY ET AL, 2012

- Pulmonary outcomes assess at 1, 7, 28 days post exposure of pharyngeal aspiration in mice
- CNF exposure – 120 ug/mouse
- SWCNT exposure – 40 ug/mouse
- Asbestos exposure – 120 ug/mouse
- All capable of inducing acute pulmonary cell damage with potency of SWCNT>CNF>Asbestos

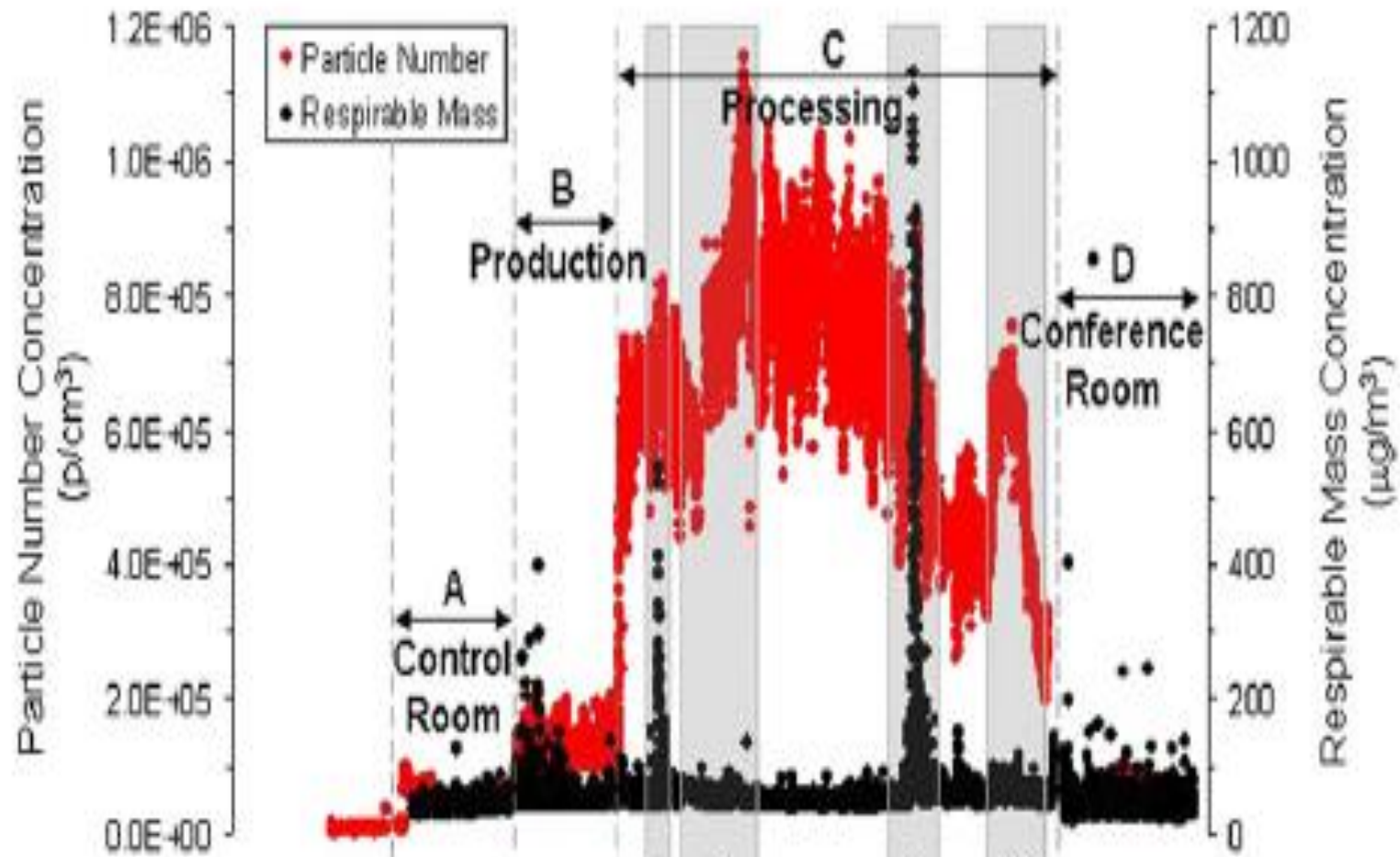
BIRCH ET AL, 2011 – PROCESS FLOW

- Raw CNF discharged from Reactor A and B
- Reactor A – compressed raw product was manually pulled from open trough (not B)
- Product broken into small pieces and put in open lined box (picture)
- Large clumps manually broken into smaller pieces
- Raw CNF then loaded into a hopper/mixer with solution
- Placed in ventilated oven to dry and form cakes
- Discharged into drum
- Poured into another hopper for thermal treatment to remove organic and metal impurities
- Final product discharged into plastic bag inside box

EVANS ET AL., 2010

- Measured respirable mass concentration using a real time meter – photometer with attached cyclone
- Compared photometer to BGI cyclone in lab
- Highest exposures in figure.
- First bump – Manual change-out of bags (tapping of bags) – 0.5 mg/m³
- Big bump – Dumping of product into open lined drum after drying - 1.1 mg/m³
- Little last bump – sweeping CNF after a spill
- CNF - 200 to 250 nm diameters
- Clusters of fibers, some single fibers

Aerosol monitoring during CNF production



PARTICLE NUMBER CONCENTRATION – RED (EVANS ET AL, 2010)

- Red Concentration – due to emission caused by opening and manual redistribution of partially dried CNF product within dryer
 - PAH components and iron rich soot – initially formed during fiber synthesis and volatilized through drying cycle and Thermal treatment area (furnace/stripper: high temperature treatment)
 - Increases in PAH containing particles
 - CO₂ concentrations increased event 3, due to combustion of natural gas from heater and thermal decomposition of carbonyl catalyst precursor during fiber synthesis process
 - Event 4 – Gas fired heater ignited, increase in CO₂ until heater was off
 - Ultrafine particle conc
 - Polycyclic aromatic hydrocarbons

CARBONS

Organic Carbon – carbons from nature, plants, living things and pollution. Includes proteins, lipids, carbohydrates, nucleic acid

Elemental Carbon – carbons from ores and minerals, carbonate minerals